

Metastudy Report

Ken Thibodeau and John Werner Plenary 7 Symposium – Abu Dhabi February 20, 2023

Overview

1. Introduction to Study

- 2. Summarize Report Findings from January 2023
 - a. Offer Key Findings





1. Introduction to Study

Metastudy Rationale

"a review of ITrust-AI proposed and approved studies to identify what AI methods and products they use in relations to which archival purposes"

- Successes and problems with use of AI within archival functions
- Generate future recommendations on AI use in archives



Methodology

- Evaluate studies use of AI through regular report structure
- Aggregate data in one location with attributes such as:
 - Identified AI Method
 - Archival Function(s) Addressed
 - Types of Records Studied
 - Planned Products of Study



2. Report Findings





AI Methods

- Divide between Semiotic and Non-Semiotic
 - Semiotic Methods: treat binary strings as representations of materials or conceptual things and apply rules to them
 - Non-Semiotic Methods: mathematically driven learning with no application of rules
- Alternatively, Symbolic vs. Non-Symbolic
- Frequently used together: AlphaFold 2



Type of Method Used	Studies Using Method		
Semiotic	7		
Non-Semiotic	12		
Both	5		
Subtotal	14		
not specified	10		
not applicable	6		

AI methods used in ITrust-AI projects



Archival Functions in the Studies

 Measured the archival functions each study addressed

 Necessary to understand how AI techniques fit with different functions



Working Group	Studies classified by WG Categories	Function	Studies addressing Function	Subfunction	Studies addressing Subfunction
Arrangement & Description	14	Arrangement	10	Classification	4
		Description	10		
Creation & Use		Creation	5		
	15	Use	11		
		Records Management	8		
Appraisal & Acquisition	1	Appraisal	1		
		Acquisition	0		
Retention & Preservation	9	Retention	2		
		Preservation	8		
Reference & Access	9	Reference	3		
		Access	9	Declassification	1

Archival functions addressed in ITrust-AI studies



Studies addressing function	Studies specifying Al method	Al Method Specified				
		Non-semiotic methods			Semiotic Methods	
		Machine Learning	Deep Learning	Computer Vision	Natural Language Processing	Knowledge Management
10	8	4	1	3	5	1
10	5	2	0	4	1	0
5	2	1	0	0	1	0
11	7	4	1	2	3	1
8	2	1	0	1	0	0
1	0	0	0	0	0	0
0	0	0	0	0	0	0
2	2	0	0	0	0	0
8	5	2	1	3	0	0
3	2	1	0	1	1	0
9	6	3	0	2	3	0
1	1	0	0	0	1	0
	addressing function 10 10 5 11 8 1 0 2 8 3	addressing function specifying Al method 10 8 10 5 5 2 11 7 8 2 1 0 0 0 2 2 8 5 3 2	Studies addressing function Studies specifying Al method Non-semication 10 8 4 10 5 2 5 2 1 11 7 4 8 2 1 1 0 0 0 0 0 2 2 0 8 5 2 3 2 1 9 6 3	Studies addressing function Studies specifying Al method Non-semiotic method Learning 10 8 4 1 10 5 2 0 5 2 1 0 11 7 4 1 8 2 1 0 1 0 0 0 0 0 0 0 2 2 0 0 2 2 0 0 8 5 2 1 3 2 1 0 9 6 3 0	Studies addressing function Studies specifying Al method Machine Learning Deep Learning Computer Vision 10 8 4 1 3 10 5 2 0 4 5 2 1 0 0 11 7 4 1 2 8 2 1 0 1 1 0 0 0 0 0 0 0 0 0 2 2 0 0 0 2 2 0 0 0 8 5 2 1 3 3 2 1 0 1 9 6 3 0 2	Studies addressing function Studies specifying Al method Machine Learning Deep Learning Computer Vision Natural Language Processing 10 8 4 1 3 5 10 5 2 0 4 1 5 2 1 0 0 1 11 7 4 1 2 3 8 2 1 0 1 0 1 0 0 0 0 0 0 0 0 0 0 0 2 2 0 0 0 0 8 5 2 1 3 0 8 5 2 1 3 0 8 5 2 1 3 0 8 5 2 1 3 0 8 5 2 1 3 0 9 6

AI Methods Used in Studies by Archival Functions Addressed





Key Takeaway

- Greater potential in the combination of Semiotic and Non-Semiotic methods
 - Non-Semiotic easier to develop yet can be inexplainable and consequently untrustworthy
 - Semiotic methods can apply archival concepts and extend theory
 - Combination of each could enhance predictive power



Key Takeaway

- Lack of studies addressing appraisal and acquisition with AI
 - Does this require future research?

 These represent the first round of conclusions from the use of AI in archives and will further develop as studies progress



Thank you!

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